## **EXHIBIT K**

# All Nippon Airways vs. United Air Lines

Deposition of

### Teruo Usui

Volume 1

November 29, 2007

Reported By: Brandon Combs, CSR 12978

Job Number: 1-6058

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Page 1
                  UNITED STATES DISTRICT COURT
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                NORTHERN DISTRICT OF CALIFORNIA
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    ALL NIPPON AIRWAYS COMPANY,
    LTD.,
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                   Plaintiff,
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                                     ) No. C07-03422 EDL
               vs.
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    UNITED AIR LINES, INC.,
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                     Defendant.
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                     VIDEOTAPED DEPOSITION OF
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                            TERUO USUI
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                        November 29, 2007
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    REPORTER: BRANDON D. COMBS, RPR, CSR 12978 Job 6058
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1 INDEX 2 PAGE 3 4 EXAMINATION BY MR. TORPEY	Page 26  PAGE 15 1) 24	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Page 4 Seven Times Square, New York, NY 10036, represented by MARSHALL S. TURNER and TIMOTHY ESKRIDGE, Attorneys at Law, appeared as counsel on behalf of the Plaintiff. WORTHE, HANSON & WORTHE, The Xerox Centre, 1851 East First Street, Ninth Floor, Santa Ana, CA 92705, represented by JEFFREY A. WORTHE, Attorney at Law, appeared as counsel on behalf of the Defendant. ALSO PRESENT: Shigeru Sakamoto; Yoshihiro Mizuno; Sadaaki Matsutani, Interpreter; Satoe Ohari, Interpreter; Stephen Statler, Videographer000 THE VIDEOGRAPHER: Good morning. Here begins Videotape 1 in the deposition of Teruo Usui in the matter All Nippon Airways, Limited versus United Airlines, Incorporated in the U.S. District Court for the Northern District of California. The case number is C07-03422 EDL. Today's date is November 29, 2007, and the time on the video monitor is 10:01 a.m. The video operator today is Stephen Statler representing Combs Reporting, 595 Market Street, Suite 620, San Francisco, and this video deposition is taking place at 595 Market Street Suite 620 in San Francisco and was noticed by Jaffe Raitt. Counsel, please voice identify yourselves and
1 UNITED STATES DISTRICT COURT 2 NORTHERN DISTRICT OF CALIFORNIA 300 4 ALL NIPPON AIRWAYS COMPANY, LTD., 5 ) Plaintiff, ) 6 ) Vs. ) No. C07-03422 EDL 7 UNITED AIR LINES, INC., 8 ) Defendant. ) 9 10 1100 12 BE IT REMEMBERED THAT, pursuant to on Thursday, November 29, 2007, commencin 14 10:01 a.m. thereof at 595 Market Street, Suite 15 San Francisco, California, before me, BRANDO a Certified Shorthand Reporter, personally app TERUO USUI, 18 called as a witness by the Defendant being first sworm, testified as follows:000 1 JAFFE, RAITT, HEUER & WEISS, 27777 Road, Suite 2500, Southfield, MI 48034-8214, by SCOTT R. TORPEY, Attorney at Law, appea on behalf of the Defendant. CONDON & FORSYTH, LLP, Times Squa	Notice and g at 620, N D. COMBS, eared it duly Franklin represented red as counsel		Page 5 MR. TORPEY: Scott Torpey on behalf of United Airlines. MR. WORTHE: Jeff Worthe on behalf of United Airlines. MR. FUS: Steve Fus, United Airlines. MR. TURNER: Marshall Turner for All Nippon Airways. MR. ESKRIDGE: Timothy Eskridge for All Nippon Airways. MR. SAKAMOTO: Shigeru Sakamoto. MR. MIZUNO: Yoshihiro Mizuno for All Nippon Airways. THE VIDEOGRAPHER: The court reporter today is Brandon Combs of Combs Reporting. And would the reporter please administer the oath to the interpreter and the witness to both interpreters. (After being duly sworn, the interpreters, Satoe Ohari and Sadaaki Matsutani, translated questions put to the witness into the Japanese language and the answers thereto given by the witness were translated into the English language.) THE VIDEOGRAPHER: Please begin. EXAMINATION BY MR. TORPEY MR. TORPEY: Q. Good morning, Mr. Usui.

	Teruc	) Usu	ıi
	Page 6		Page 8
1	A. Morning.	1	exams periodically to ANA pilots?
2	Q. Where are you employed, sir?	2	CHECK INTERPRETER: Proficiency meaning
3	A. All Nippon Airway.	3	language proficiency? The interpreter interpreted it as
4	Q. And how long have you been there?	4	being language proficiency.
5	A. 28 years.	5	MR. TORPEY: Let me just say this. With all
6	Q. And what is your current position?	6	due respect, sir, I'm not here to take your deposition.
7	A. I am captain of the Boeing 777 model.	7	If he has a question on that, I'm sure he'll raise it.
8	Q. Is there a chief pilot for All Nippon Airways?	8	I don't know how much you know about aircrafts or
9	A. By chief pilot, what sort of ranking would	9	flying, that's a term of art. He's a professional. I
10	that be?	10	bet he knows what that is.
11	Q. Well, is there somebody who is in charge of	11	CHECK INTERPRETER: The lead interpreter
12	all pilots for All Nippon?	12	interpreted as a language proficiency. That's why the
13	A. Yes.	13	checking interpreter is concerned.
14	Q. Who would that be?	14	MR. WORTHE: Well, that's your opinion.
15	A. Are you referring to the chief, to the group	15	MR. TURNER: It seems to me the interpreters
16	that I belong to, or to the chief for all Boeing 777	16	have been dealing with any suggestions among themselves
17	models?	17	very well, and I don't see any reason for this
18	CHECK INTERPRETER: Passenger. Never mind.	18	discussion to be going on. But it's your deposition.
19	That's okay.	19	You can conduct it the way you want.
20	MR. TORPEY: Q. What group do you belong to?	20	MR. TORPEY: This gentleman has only been at
21	A. It's a training department.	21	the deposition for the last two days for less than an
22	Q. What position do you hold in the training	22	hour when he left to take part in the deposition
23	department?	23	preparation for the next day's witness. This is the
24	A. I am the training or educational officer.	24	last day and apparently he's going to be here all day.
25	Q. That's your title, educational or training	25	I do not intend to waste my deposition time
23	Q. Mats your dae, educational or daming		To not mean to value my deposition and
_	Page 7		Page 9
1	officer?	1	with the interpreters apparently disagreeing. I brought
2	A. Yes.	2	an interpreter. She's the interpreter for this
3	CHECK INTERPRETER: May the checking	3	deposition. Your interpreter is welcome to whisper in
4	interpreter propose perhaps "an instructor"?	4	your ear, whatever, but I don't want this deposition to
5	MR. TORPEY: I don't want this deposition to	5	be taken up with problems with the interpreter.
6	turn into a battle of the interpreters. If there's	6	MR. TURNER: The only significant time
7	something significant, I'd like you to raise it, but	7	consumption has been your discussion about it.
8	CHECK INTERPRETER: I'm just	8	MR. TORPEY: There's going to be no discussion
9	MR. TURNER: I want to comment, it hasn't been	9	about this. Read back the question, please.
10	a battle of the interpreters.	10	(Record read by the reporter.)
11	MR. TORPEY: He hasn't been here, and he	11	MR. TURNER: I'd like to note a comment on the
12	probably will sit in today. Read back the answer, the	12	record as to the translation as to whether or not this
13	answer to his title.	13	interpreter has translated the term proficiency as
14	THE INTERPRETER: Training or educational	14	"language proficiency" as opposed to "piloting
15	officer.	15	proficiency." That's my comment.
16	MR. TORPEY: Okay. Thanks.	16	MR. TORPEY: Q. The answer?
17	Q. And do you train beyond the 777 aircraft, or	17	A. With regards to a regular examination, I give
18	is that your specialty?	18	an annual examination to the pilots.

A. No. Just B777.

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Q. And how long have you been the training and 20 educational officer on the 777? 21

A. Seven years.

Q. Are you familiar with the term check airman? 23

A. What does that person do?

Q. Do you give proficiency checks or proficiency

an annual examination to the pilots. Q. Is there some type of regulation, sir, that requires an annual proficiency exam or check? A. It's a company rule: Q. And when you give these proficiency exams, is it a written exam or a performance exam? Just describe for me a little bit about what you do and how you do it.

A. It is a performance exam. I did say pilot,

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but there are captains and copilots. This exams is given only to copilots.

- Q. And the exam that's given only to the copilots or check ride, how long has that been the policy of ANA?
  - A. From about four to five years ago.
  - Q. Would that make it around 2002, 2001?
- A. I obtained the license in 2004, so it was from 2004.
- Q. Oh, I understand. But before you were licensed as a proficiency examiner, was that still the rule that only copilots would get the proficiency check?
  - A. One moment, please.
- Q. No problem.

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- A. It is 2007 today, so -- well, I obtained the license in -- at the end of 2003, so it has been four years.
- Q. Can you tell me when at the end of '03 you became a check airman or proficiency officer?
- A. I do not have a recollection of exactly when it was.
- Q. Would it have been sometime before October of 21 2003? 22
- 23 A. After.
- Q. Do you know if it was in October or was it 24 after October, 2003? 25

A. In order to obtain this qualification, I had to have knowledge about the flight, the crew as well as requirements of the aviation board since the exam was being done for the aviation board.

Page 12

Page 13

Q. When you refer to the aviation board, what specific organization are you referring to?

- 7 A. It is the aviation board of the transportation 8 ministry.
  - Q. Is that of Japan?
  - A. Yes.
- O. And you said that you had to be familiar with 11 aviation regulations. Would those include any -- is 12 there a set of regulations governing aviation in the 13 country of Japan? 14
  - A. It is a Japanese aviation law.
- O. Now, do you also have to be familiar with the 16 17 United States federal aviation regulations?
  - A. No.
- 19 Is there someone in your company who is charged with the obligation of knowing the U.S. federal 20 21 aviation regulations?
  - A. I've never heard about that.
  - Q. Do you know if there's any regulation -- do you know one way or the other if there's any regulation or other rule in Japan which requires compliance with

Page 11

- A. After.
- Q. If you wanted to get the exact date when you were -- when you became a proficiency officer, where would you look for that information?
- A. There is a Japanese aviation certificate, so if I check that, I will be able to know.
  - Q. Is that something you carry with you?
  - A. No.
- Q. What did you have to do -- or how long was the process -- strike that.

What did you have to do and for what period of time to qualify as a proficiency officer?

A. I was.

THE INTERPRETER: The interpreter will restate.

THE WITNESS: I had to have knowledge about aviation regulations, the judgment standards for the examination. Also, since this is a company internal examination, it had to be done or rather I had to do the guiding in a manner that would be authorized by the aviation board.

MR. TORPEY: Q. You said that one of the things you had to do was to know the aviation regulations. Can you tell me what regulations you're referring to.

U.S. federal aviation regulations? 1

A. I do not know.

2 O. When aircraft -- when ANA aircraft come to the 3 United States and land or take off from airports within 4 the United States, you agree with me that they are obligated to comply with U.S. federal aviation regulations; correct?

A. When we fly to the U.S., we have not seen any particular difference.

10 THE INTERPRETER: The interpreter will 11 restate.

THE WITNESS: When we fly to the U.S., I have not seen any material regarding the difference in aviation laws of Japan and the U.S. at the company. We study ATC material. So I am not -- I am not aware about the compliance with FAA aviation regulations. We study how to fly.

MR. TORPEY: Q. Well, there are Japan aviation regulations that govern how your pilots on your aircraft fly; correct? Or how their supposed to fly?

- A. I don't understand.
- Q. Let me get back to the original question, sir.

As the educational and training officer of

ANA, do you believe that when an ANA aircraft lands or takes off from San Francisco International Airport here

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Page 14 in San Francisco, California, that the flight crew of that ANA aircraft while in the United States on the ground have to comply with U.S. federal aviation regulations relative to the operation of their aircraft at that U.S. airport?

- A. First of all, although I am a training officer, my work is related to technical matters. Also, when we fly to the U.S., we follow the way of the U.S. in flying.
- Q. Let me see if I understand you. You, yourself, are a captain and act as pilot in command of a 777 including flights to airports in the United States; correct?
  - A. Yes.

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- O. And when you, as the pilot in command, are flying an aircraft and landing at San Francisco or piloting an aircraft taking off from San Francisco, you understand that you and your crew members, while at the U.S. airport, must comply with U.S. federal aviation regulations with regard to the operation of your aircraft; correct?
- A. To state it simply, I am not too conscious about that. We follow the way that we must fly in the U.S. I'm not too conscious about regulations, per se.
  - O. Well, I don't know what you mean by not too

Page 16 Q. And you agree with me then that in operating an aircraft, for example, taxiing an aircraft at San Francisco International Airport, ANA pilots are required to comply with the U.S. federal aviation regulations; correct?

A. When we fly in the U.S., including movement on land such as taxiing, we do it in a manner that was taught to us by our company, so I believe we are satisfying the regulations.

O. Okay. Thank you, sir.

Let me just ask a few more things on background here. The route manual, you're familiar with what the route manual is I take it?

- A. You're talking about the route manual distributed by the company?
  - Q. That's correct, sir.
- A. Yes.
- 18 Q. Does the route manual have in it specific regulations, whether Japan regulations or U.S. federal 19 aviation regulations? Does it quote specific 20 regulations in that manual? 21
- A. There are no regulations, per se. There's no 22 23 the description of the law.
  - Q. Okay. Would that be in the operations manual, or do you know if it's in any particular manual that's

Page 15

conscious. I guess what I'm saying to you, sir, is you are commercial airline pilot that flies a 777 aircraft to and from U.S. airports.

My question simply is are you or are you not required to comply with U.S. federal aviation regulations when you are flying into or out of a United States airport?

 We follow the way flying is done in the U.S., so I believe we are satisfying the FAA requirements.

Q. And I think I understand you. Let me show you what is -- let me mark this. I don't know what number that is.

(Whereupon, Exhibit 14 was marked for identification.)

(Discussion off the record.)

15 MR. TORPEY: Q. Mr. Usui, let me show you 16 what we've marked Exhibit 14, which is a page from the 17 federal aviation regulations, in particular, 49CFR part 18 91.1 titled, applicability under subsection A, among 19 other things, it says there, this part prescribes rules 20 governing the operation of aircraft within the 21

United States including the waters within three nautical 22

miles of the U.S. coast. 23

24 Do you see that?

A. Yes.

distributed by ANA? 1

> A. In the route manual, there is a basic examination in a section called ATC, and that section contains abstracts or typical information.

- Q. And I apologize if I asked this, sir, but when you give proficiency exams or check rides, did you say there is a written component, or is it you strictly observe the performance of the pilots you're evaluating?
  - A. Only the technical portion.
- Q. I'm not following you. What do you mean by 10 the technical portion? Is that you have to watch them 11 do something, or do you give them a written test of some 12 13 type?
  - A. There is no written examination.
  - O. Do you perform your evaluation by sitting in the cockpit of an aircraft that they're flying, or do you watch them in a simulator, or how do you do it? What's the setting you perform this proficiency check?
  - We use the simulators.
- Q. Do you sometimes give a check ride in an 20 21 actual aircraft?
  - A. No.
  - Q. Is there any kind of a written, let's say, guidelines that you follow that these pilots must meet.
  - In other words, is there some protocol or procedure

Page 17

Page 18 Page 20 A. The materials are kept at the training written that you apply in evaluating what the pilots are 1 1 2 2 doing? department. 3 A. When evaluating, there's a guideline put out Q. Okay. Now, you say they change. Do they 3 4 change every year, or how frequently do the materials 4 by the company. 5 change? 5 Q. What's the guideline called? A. Overall, they are the same, but with each A. Check manual. 6 6 7 training, we have to make sure that the requirements Q. Do you know if there have been any changes in 7 8 will be covered so those things would change. the check manual say since 2003 to today? 8 9 Q. Backing up a step, with regard to the training A. Yes. I believe there was or were. 9 Q. Okay. To become a 777 pilot, does ANA provide 10 in type, in other words, to get type rated on a 777, do 10 any kind of training? I know we talked about you doing the materials change every year, or are they -- let me 11 11 proficiency exams, but is there a training program that 12 rephrase that. 12 pilots have to go through? 13 To get type rated in a 777, the check manual, 13 14 is it basically the same from year to year, maybe minor 14 A. Yes. Q. And do you do the training as well? changes, but basically the manual is pretty much the 15 15 THE INTERPRETER: The interpreter will repeat 16 same? 16 the question in Japanese. 17 THE INTERPRETER: The interpreter will restate 17 THE WITNESS: I do conduct a set program when 18 the question in Japanese. 18 19 THE WITNESS: There are revisions of the check the pilot is moving from another aircraft model to 777. 19 manual, but it is not as if there is a change every MR. TORPEY: Q. So when somebody wants to get 20 20 type rated from say a 767 to a 777, you would give the 21 year. 21 MR. TORPEY: Q. Okay. I guess with regard to 22 22 training on the 777? the check manual, if there was a revision, is there a 23 A. Yes. 23 table of revisions, in other words, it will show, you 24 Q. Is there any other kind of training given to 24 know, a page and what replaces it so you can see what pilots, let's say, besides just type-rating training, 25 25 Page 19 Page 21 and when it was revised? but general piloting type training. Is there anything 1 2 like that given to ANA pilots? Not aircraft specific. 2 A. Yes. There is a table of revision. Q. Mr. Usui, how long have you performed the In other words, it's not for a particular type of 3 3 annual training that we've been talking about? How long aircraft but just piloting in general. 4 4 5 5 have you been a trainer for that type of training? A. Yes. A. I don't know how many times. I do not recall. Q. And what does that -- do you do that training 6 6 Q. I'm sorry. I didn't mean how many times. How 7 7 as well? 8 many years have you been doing this annual training? 8 A. Yes. 9 A. Ever since I became the training officer, and Q. What's that -- give me a feel for what that 9 10 it's been around seven years, although I do not have an kind of training is. 10 accurate recollection. A. I don't have the materials here, so it is hard 11 11 to say. But there is a regular training annually. Q. How many trainers in addition to yourself are 12 12 Q. And would that include pilots of all the types there for the 777 aircraft? Trainers and check airmen. 13 13 A. I don't know how many exactly. of aircraft that are in the ANA fleet? 14 14 Q. Would there be, let's say, dozens or hundreds 15 A. All ANA pilots receive an annual training. 15 or an estimate? Q. And what is the training manual that you use 16 16 A. I think there are 20 to 30 trainers. 17 17 for that training called? 18 Q. Okay. This annual training, is any of it done A. There is no manual because that regular 18 in a classroom training, and also, is there any kind of 19 training conducted once a year changes every year. 19 Q. Is there any kind of written materials that is written examination that is given? 20 20 21 MR. TURNER: Objection as to form.

THE WITNESS: I already said there is no

that are played for training purposes to the pilots?

MR. TORPEY: Q. Are there any videos, CDs,

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written examination.

given to you by the company for you to use in providing

Q. And tell me what, is it in a booklet, or what

is the nature of the materials that's utilized?

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that annual training?

A. Yes.

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be 14? 15.

identification.)

(Whereupon, Exhibit 15 was marked for

#### Page 24 Page 22 MR. TORPEY: For the record, Marshall, A. No. 1 Exhibit 15, are there any other documents you're Q. With regard to either the training to become 2 type rated in a 777 or the annual training we've been 3 producing today? 4 MR. TURNER: If you ask for things, I'll let talking about, is there any training or instruction to 5 you know -the ANA pilots with regard to taxiing of aircraft? MR. TORPEY: I asked for them before we got A. When the pilots are trying to get type rated 6 here. That's why I sent a deposition notice. So if 7 for the 777 model, they already have experience with you're producing documents, let's have them. I don't taxiing, in other words, they can taxi, so we do not 8 9 want to get these drip, drip as the day goes on. give any particular training on that. 10 MR. TURNER: You asked him to bring documents O. Let's say if it was someone giving training, not to get type rated but other type of training, 11 with him --MR. TORPEY: I did not ask this witness to apparently you do give taxiing training or instruction? 12 A. Just the very first lesson. bring documents. I didn't ask any witness to bring 13 documents. I sent a deposition notice to ANA. ANA was O. Tell me what it is that you train during that 14 to produce documents at the commencement of the first lesson? What is it that you're training them to 15 deposition two days ago. You're producing them on a 16 do? daily basis, and I'm asking whether you're producing A. I don't have them here, so I can't say. 17 anything else today. 18 O. What document would that be in or called? If MR. TURNER: If you ask for something else --19 I wanted you to produce to us the taxi training MR. TORPEY: Again, I'll ask you to materials, what would I ask for? 20 produce everything we requested in the deposition A. I don't know. 21 Q. If someone were to ask you for a copy of the 22 notice. MR. TURNER: Let me read you the deposition training materials that you used to teach taxi 23 instructions, you would know what that person is talking 24 notice. 25 MR. TORPEY: I know what it says. If you're about though; correct? Page 23 A. There is no written materials regarding the not going to produce, I'm going assume at this point there's nothing left to produce, and I'm going to move main points or gist of taxiing, so we give the gist of 2 on with the deposition. 3 taxiing verbally. MR. TORPEY: Q. I apologize for the Q. Then since it's just verbal, tell us what it 4 distraction, sir. Just tell me how long you've had -is that you tell the pilots with regard to taxiing. 5 what licenses and certificates do you hold aviation A. Just the instruction on how to turn because 6 they all know how to move the aircraft along the licenses and certificates and for how long. 7 A. I hold the airline transport pilot 8 centerline. Q. How long have you held a commercial airline 9 certificate, the copy of which is here. Q. Mr. Usui, Exhibit 15, can you tell me what 10 pilot certificate? those documents are, please. A. By commercial license, which one are you 11 A. The cover page or rather the first page is the 12 referring to? Q. Let me ask you what licenses or 13 aviation medical certificate. certificates -- aviation licenses or certificates do you 14 Q. And what are the remaining documents? A. The third sheet is the airline transport pilot hold and how long have you held them? 15 certificate. And fourth sheet is a copy of the ratings MR. TURNER: We have made copies of Mr. Usui's 16 and limitations certificate. And final sheet shows my 17 licenses, aviation licenses if you want them. license as a radio operator on an aircraft. MR. TORPEY: Why don't you produce for me 18 Q. Mr. Usui, prior to the deposition, did anyone whatever documents you're producing today so we can mark 19 ask you if you had any objection to producing your those. And, yes I'll retroactively make them part of 20 the protective order. I assume you're not giving me 21 training and personnel records? your hotel bill. We'll mark these I guess. This would 22

Q. If we were to request getting your training

training personnel records from ANA, do you have any

personnel records - not financial records - but just

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Teruo Usui Page 26 1 A. 767 and 747. problem with ANA releasing those? 1 2 2 A. Me personally? 3 3 Q. Yes. 4 4 A. If the company decides to, it is okay with me. 5 document? O. Thank you. By the way, when do you return -5 6 or leave the U.S.? 6 7 A. This time? 7 8 personal? Q. When are you leaving to go back to wherever 8 9 you're going after here? 9 10 10 A. Tomorrow. Q. You're going back to Japan? 11 11 12 12 A. Yes. 13 13 Q. And when did you arrive in the U.S.? 14 A. I arrived on November 28. 14 Q. That would be yesterday; correct? 15 15 16 A. Yes. 16 Q. And did you come in as a passenger on ANA? 17 17 18 A. Yes, that's right. 18 19 Q. And do you depart tomorrow as a passenger on 19 20 20 ANA? 21 21 A. Yes. 22 A. Yes. 22 Q. When are you next scheduled to fly as a crew 23 member on ANA? 23 A. The schedule was not yet ready when I left 24 correct? 24 25 A. Yes. 25 Japan, so I don't know.

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Page 29

- Q. If you wanted to look up how many hours in type or how many total hours you have, what document would that be contained in and who would have that
- A. That is personal information, so...
- Q. So would ANA have that or something you keep
- A. Well, this is the sort of information that not everyone can have access to, so there's some information kept by ANA and also kept by the individual.
- Q. As part of your piloting duties with ANA you -- sorry. I know you've been with ANA 28 years, how long have you been -- first a copilot.

How long were you a copilot on the 777?

- A. I don't know how many years I have been a copilot on 777 because I received training to be promoted to captain of that aircraft model.
- Q. When did you become a captain of a 777?
- A. I don't know when it was exactly.
- Q. Has it been for more than seven years?
- Q. And as captain, you're the pilot in command;

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- Q. And when did you last fly as a crew member for 1 2 ANA?
  - A. I don't have the schedule at hand, so I don't know.
    - Q. That's fine. No problem.
  - I don't obviously read Japanese, so could you tell me how long have you held an air transport pilots certificate. When did you first get that?
  - A. It is written at the left bottom of the license, so I obtained it on April 9, 1998.
    - Q. How many total hours do you have as a pilot?
- A. To now? 12
- Q. Yes. 13

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- A. I can't tell you how many hours since I don't know that without looking at data.
  - O. Do you have just a ballpark, an estimate?
- A. I think it would be about 10,000 hours at 17 present. 18
- O. And of those approximate 10,000 hours, how 19 many would be in type in a 777? 20
- A. I don't know. 21
  - O. Would you say more than half?
- A. I have flown three different aircraft models, 23 so I do not know the number of hours for 777. 24
- 25 O. What other aircrafts have you flown?

- Q. On average, about how many times per month 1 would you fly a 777 aircraft, say, to or form the 2 3 United States -- to or from the United States? What's
- 4 an average month?
  - - A. Month -- the monthly average?
  - Q. Yeah. How many trips per month would you make as captain?
    - A. Two to three times per month.
- 9 Q. Okay. And has that pretty much been the case 10 for the last at least seven years that you've been a captain? 11
- 12 A. Well, I also work as a trainer, so when I have trainees, there could be times when I would not fly for 13 14 two months.
  - Q. So you're either -- if you are flying, it averages two or three times, but some months you don't fly at all because you're training? Is that pretty much what you do?
  - A. By that, are you saying that there are months that I don't fly to the U.S. specifically?
- O. No. I meant -- are there months when you don't fly at all because you're training? 22
- 23 A. No. There would be no such months because 24 between trainings, I would fly domestically.
  - Q. Of the two to three times a month on average

Page 32 Page 30 2007, any idea how many times on average per month you that you are the captain of a 777, about how many times on average would those trips involve a trip to or from 2 were flying in and out of San Francisco? 2 3 A. This year; right? the United States? 3 4 A. There are months when I do not fly to the U.S. Q. Yeah. 4 5 A. I don't know unless I look at the record. 5 because I fly also to China and Asia. 6 Q. Okay. Fair enough. Do you speak English? O. When you fly to the U.S., where do you 6 typically fly to? What airport do you fly in or out of, 7 7 8 Q. And do you read English? 8 typically. 9 A. A little. 9 A. Location? 10 Q. And when you are the communicating pilot, you 10 Q. Yeah. have to talk to air traffic control in English; am I 11 11 A. New York, Washington, San Francisco and 12 correct? 12 Los Angeles. 13 A. Yes. Q. New York, is that JFK? 13 14 14 A. Yes. Q. When you are the -- strike that. 15 As the captain and pilot in command, is it 15 Q. Dulles? 16 your decision to determine whether you or your first A. Washington. 16 Q. Is that Dulles or Reagan? officer would be the flying pilot? 17 17 18 A. The PIC makes the decision as to who will fly A. Yes, Dulles. 18 the plane. 19 Q. Since, let's say, the last seven years that 19 Q. Is there any guideline that you use in terms you've been a captain, at least for the last seven 20 20 of deciding whether on a particular leg or particular years, can you tell me how many times a year you would 21 21 portion of a leg you, as opposed to your first officer, have flown in or out of San Francisco International? 22 22 A. For the first year and a half I did not fly to 23 would be the pilot flying? 23 San Francisco. At the beginning I was flying to 24 A. By guideline, do you mean something that is 24 25 written? 25 Chicago, so I did not fly to San Francisco. Since then Page 31 Page 33 Q. Well, I guess, first let me ask you, is there I do not know how many times I flew to San Francisco. 1 Q. Just so I understand your answer, from the 2 anything written? 2 first year and a half that you became a captain, you did 3 A. Yes, there is a written guideline. 3 Q. And what is that guideline called? 4 4 not fly to San Francisco, captain of the 777; am I 5 correct? A. Do you mean a leaflet or something? 5 6 Q. Is there a name for the document, or where 6 A. There was no route. 7 would it be found if I wanted to look for it? Q. When did ANA first have a route established to 7 8 A. Oh, it is written in operations manual. San Francisco? 8 9 Q. Okay. And that operations manual is the 9 A. I do not know. 10 manual that must be kept on the 777 aircraft at all Q. Is it before the year 2000? 10 times; correct? 11 11 A. I don't know. Q. In the last five years, would you say you've A. It is there. 12 12 flown in and out of San Francisco as a crew member at Q. Is the manual in English or Japanese or both? 13 13 A. It is in Japanese. least -- at least once a month? 14 14 15 MR. TURNER: Is this a good time to break? A. I wouldn't say at least because sometimes I 15 We've been going for about an hour and a half without a 16 16 may never fly into San Francisco in one month. break. 17 Q. Would it be fair to say that in the last seven 17 MR. TORPEY: I have just a few more questions years since you've been -- at least the last seven years 18 18 19 since you've been a captain, that you would have flown, on this. let's say, at least ten times a year into or out of 20 MR. TURNER: Go ahead. 20 21 MR. TORPEY: I'll give you a break in a San Francisco for the last seven years? 21 22 A. I don't know for sure, but probably. 22 moment. Q. And I know you're probably ready for a break O. Just tell me what you recall briefly about 23 23 what some of the guidelines are in regards to whether shortly, and we'll take it. 24 24 25 you as the captain or first officer should be the flying 25 In the past year, let's say this year, in

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- A. First, the weather condition and the weight of the aircraft is determined according to the runway. Also, the runway condition, is it dry or wet.
  - Q. Anything else that you recall?
- A. And also if there is any structural problem with regards to the fuselage, we will not have the first officer operate the plane.
- Q. How about with respect to whether you or your first officer should taxi either upon landing or upon departure. What guidelines exist with regard to that specific operation?

A. No.

MR. TORPEY: We can take a break if you like. THE VIDEOGRAPHER: This concludes Videotape 1 of the deposition of Teruo Usui. The time on the monitor is 11:31 a.m.

(Recess taken.)

THE VIDEOGRAPHER: Here begins Videotape 2 of the deposition of Teruo Usui. Coming back on the record. The time is 11:47. Please begin.

MR. TORPEY: Q. Mr. Usui, have you ever heard of a concept called conflict resolution as it applies to the piloting of an aircraft?

A. No, not really.

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O. Well, let me describe a situation for you, and maybe there's a different name for it in your language than what I'm referring to as conflict resolution.

Let's say that you are taxiing an aircraft and you are the flying pilot and you see another aircraft and you're not sure whether or not, if you continue to taxi, you might strike the other aircraft.

Is there a process that you as the pilot would go through to consider what you should do in response to what you're looking at?

MR. TURNER: Objection as to form and incomplete hypothetical.

CHECK INTERPRETER: There was an objection. MR. TURNER: Translate the objection, please.

THE INTERPRETER: Excuse me.

THE WITNESS: When there is other traffic or another aircraft when taxiing, we would communicate with the ATC to confirm.

MR. TORPEY: Q. What would you want to confirm?

- A. What do you mean by that question? What do you want to confirm?
- Q. In the situation that I just gave you, let's say that you were the pilot in command and flying pilot 24 and you were taxiing your aircraft, your 777, and during

the taxi you see another aircraft and you are not sure whether or not, if you continue to taxi, you might 2 3 collide with that other aircraft.

What would you say to aircraft control when you called them? In other words, what would you ask aircraft traffic control?

- A. I would not know unless I am in such a situation.
- Q. Would it be fair to say, Mr. Usui, one of the things that you would want to do is discuss with air traffic control whether or not it is safe for you to continue taxiing, or whether you should stop and take some other action?
- A. I don't know unless I am in the situation, but I believe that there would be some instruction or instructions from ATC.
- Q. Well, as the pilot in command of a 777, if you were taxiing, Mr. Usui, and for whatever reason you were not sure whether or not you were going to collide with another aircraft during your taxi, am I correct that you would stop the taxi until you could confirm that you were not going to collide with the other aircraft?

MR. TURNER: Objection as to form and foundation.

THE WITNESS: Unless I am in that situation, I

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Page 36

don't know.

MR. TORPEY: Q. Okay. Mr. Usui, I understand that you want to have a particular situation, but really it doesn't matter what the situation is. You can image any situation you want.

But if that situation involves a potential collision with another aircraft while taxiing, you would agree with me that you as the pilot in command would not continue taxiing until you knew for sure you were not going to collide with the other aircraft; correct?

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: If we follow the instruction of ATC, I don't think there will be a collision.

MR. TORPEY: Q. But if you felt there is a possibility of a collision and you weren't sure, even if you were following the instructions of ATC, as a safe pilot in command, then you would bring your aircraft to a stop until you could confirm, in fact, you would not collide with the other aircraft; true?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: There's surely an instruction from ATC in such a situation.

MR. TORPEY: Q. What if in that situation

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Page 38 though, for whatever reason, you could not reach ATC? As the pilot in command and the person responsible for the safe operation of your aircraft, wouldn't you bring your aircraft to a stop rather than to continue taxi until you knew for sure you were not going to run into the other aircraft?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: We are supposed to follow the instruction of the ATC. To stop in the middle is to go against that instruction. It is like violating the instruction.

MR. TORPEY: Q. And so you as the pilot in command of an ANA 777 and a proficiency examiner for ANA, if given clearance to taxi, and even if you perceive that you might collide with another aircraft and if for some reason you can't contact air traffic control, you would continue to taxi your aircraft right into the other aircraft rather than stop until you could confirm the clearance.

Is that what you're saying, sir?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: I don't quite understand the 24 25 question.

and careful pilot in command, if there is any doubt in your mind about whether or not during your taxi you're going to collide with another aircraft, even if you've already been cleared by air traffic control, then you will stop your airplane rather than to continue to taxi; correct?

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MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: We follow ATC when we operate the aircraft, so if there is anything, there would be an instruction from ATC.

MR. TORPEY: Q. Mr. Usui, what if you were taxiing your airplane as pilot in command and you were cleared by ATC to taxi and during that taxi you see another aircraft and you don't know whether or not you are going to crash into that other airplane.

You as the pilot in command, what would you do at that point?

MR. TURNER: Objection as to form, incomplete 20 hypothetical.

THE WITNESS: Of course when taxiing an aircraft, we will observe the surrounding, and I do not know since - I do not know unless I am in that particular situation, but there will surely be an instruction from ATC.

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MR. TORPEY: Q. Who is responsible for the safe operation of your aircraft when you are the pilot in command of the 777 aircraft filled with passengers?

A. The PIC.

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 Q. So the ultimate safety of your passengers and your crew members is your responsibility when you're the pilot in command; correct, sir?

A. The PIC.

Q. And could collision with another aircraft cause a safety hazard if there was a collision during

A. What do you mean by a safety hazard.

Q. If you were taxiing your aircraft and you ran into another aircraft, could that cause someone on either airplane to become hurt or even killed?

A. I can't really say. It depends on the type of collision.

Q. Well, certainly you would not under any circumstances want to taxi your airplane into another airplane for any reason; correct?

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: No one will taxi an aircraft in order to have a collision.

MR. TORPEY: Q. And therefore, being a safe

MR. TORPEY: Q. With all due respect, 1 2 Mr. Usui, I'm going to ask that that answer be struck

because I don't believe it was responsive, and I'll ask

a few more times this question.

And I would just say, regardless of what anyone told you, I believe you have integrity, sir, and I think you hold a position with a very fine airline. And I'm asking you, honestly, to testify about a question that I think is very basic. And I will ask you, sir, and please tell me in response to this question what you would do.

MR. TURNER: Before you do that, I'm going to instruct the witness that he is to disregard counsel's comments which are inappropriate and unprofessional and demeaning, and he is to listen to the question carefully and give his true and accurate and honest answer regardless of Mr. Torpey's comments. MR. TORPEY: Q. Mr. Usui, as a 28-year

veteran of All Nippon Airways, as a pilot in command, as a check airman of ANA, as a pilot with over 10,000 hours of experience, as a person charged with the responsibility for the safety of ANA passengers, if you were the pilot in command of a 777 and the flying pilot and you were taxiing at San Francisco International Airport and you were cleared by ramp control to proceed

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#### Teruo Usui

Page 42 to the transition area, and while en route to that 2 transition area you saw another aircraft and you did not 3 know for sure whether or not you would collide with that 4 other aircraft. 5 What would you, as the pilot in command, do in 6 that situation, sir? 7 MR. TURNER: Objection as to form, incomplete 8 hypothetical. 9 THE WITNESS: First of all, what kind of 10 clearance did the ATC give? MR. TORPEY: Q. Clearance to taxi from the 11 engine-start line to spot 10, the transition area 12 13 between the nonmovement and movement area. 14 MR. TURNER: Objection as to form, incomplete hypothetical. 15 16 THE WITNESS: Of course, I would taxi 17 according to the instruction of ATC. 18 MR. TORPEY: Q. So rather than determine 19 before you proceed whether you were going to run into 20 the other aircraft, you would just continue to taxi? Is 21 that your answer, sir? 22 MR. TURNER: Objection as to form, foundation, 23 incomplete hypothetical. 24 THE WITNESS: If there was another aircraft

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going to collide? What would you do?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: If it was right in front, I would go slowly. No one would go fast.

MR. TORPEY: O. So you would not stop even though you did not know you'd clear the conflict? You would keep going, you would just slow down. Is that your answer, sir?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: No. What I said was that I would lower the taxi speed as much as possible.

14 MR. TORPEY: Q. But even if you lowered the 15 taxi speed, if at the time you lowered the taxi speed 16 you did not know whether or not you were going to collide with the other aircraft, would you still keep on 18 taxiing until you kept taxiing right into the other aircraft? 19

Or would you stop, attempt to stop, and call air traffic control or ramp control to determine whether or not you were going to collide with the other aircraft?

MR. TURNER: Objection as to form, incomplete 25 hypothetical.

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taxiing, the ramp control would not give such an

that suddenly appeared on the taxiway that we were

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MR. TORPEY: Q. But what if the ramp control had already given the instruction? What would you do? Would you continue to taxi, or would you first take some other action such as stopping, calling the ramp control, and inquiring whether or not you're going to clear that potential collision hazard?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: I don't know unless I am in such a situation.

MR. TORPEY: Q. Well, put yourself in that situation, sir. Put yourself in the cockpit of a 777 aircraft as the pilot in command, flying - taxiing from the engine-start line, cleared by ramp control to spot 10.

And while you are proceeding to spot 10, another aircraft comes into your field of view and you do not know for sure whether or not you're going to collide with that other aircraft if you continue to taxi.

With that set of facts in your head, sir, as the pilot in command, would you stop or would you continue to taxi without knowing whether or not you were 1 THE WITNESS: I cannot image what sort of 2 situation that would be. 3

MR. TORPEY: Q. Well, with all due respect, sir, I'm going to say that I don't think that was a responsive answer. I'm going to move to strike. I don't want to argue with you, but it's clear that you have come here with a certain perception on what to say in response to these questions, and I'm going to have to move on to something else.

And unless you have something else to say with regard to the situations I've presented, and I'll give you that opportunity now, I'm going to move to another topic because it's pointless for me to continue asking questions.

MR. TURNER: It is improper for you to continue asking improper questions and incomplete hypotheticals, and your comments are unprofessional and demeaning.

MR. TORPEY: O. Do you have anything further to add, Mr. Usui, or have you said all you are going to say on that topic?

A. Since I do not understand that situation, I cannot make an explicit comment.

MR. TORPEY: Q. Well, I will have to move on, and we'll deal with this at another time.

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Page 46 Does ANA do any other training with its pilots with regard to what to do in a situation when they are confronted during taxi with a possible collision with another aircraft?

- A. There is no training.
- O. In order to get any of the professional aviation licenses that you hold, did you receive any such training?
- A. Are you talking about collision avoidance during taxiing?
- Q. That's correct. Are you trained or instructed at any time by anyone with regard to that topic?

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Q. And so if confronted with a possible collision hazard during taxiing, the company, ANA in this case, just expects you to figure it out on your own; right?

MR, TURNER: Objection as to form and foundation.

THE WITNESS: It does not say figure it out on your own. It says observe safety when taxiing.

MR. TORPEY: Q. Where does it say that?

- All pilots have that understanding.
- Q. And if you were to taxi or continue taxiing and there was a possibility that you were going to collide with another aircraft and if you did not stop or

hypothetical.

THE WITNESS: I don't know unless I am in such a situation. I do not know if it would be a violation.

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MR. TORPEY: Q. I guess I'll move on to something else. I don't want to argue with you, sir.

So let me ask you, in a situation where -let's say that were you the pilot in command of a 777 at San Francisco International airport and you were taxiing from the engine-stop line to spot 10, the transition area, and you were cleared by ramp control to proceed from the start line to spot 10.

If you perceived a potential collision hazard as you were taxiing, one of the things you could do in order to observe safety while taxiing, is you could stop; isn't that true?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: I do not know what kind of situation that is, but if the ramp tower instructs me to go to spot 10, I would do so as I confirm safety while doing so, and I do not know where that other aircraft is in your question.

MR. TORPEY: Q. Well, Mr. Usui, one of the things you could do as you put it, while confirming safety, is to stop the taxi until you did, in fact,

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take other actions to make sure you were not going to collide with that other aircraft, then you would not be operating your aircraft safely during taxi; correct?

MR. TURNER: Objection as to form and foundation, incomplete hypothetical.

THE WITNESS: We pilots taxi while confirming safety.

MR. TORPEY: Q. As a proficiency examiner for ANA, if you were onboard an aircraft and the pilot continued to taxi, even though he was cleared to taxi, he continued to taxi despite the fact that you saw a potential collision hazard and that pilot had not taken any action to confirm whether or not the aircraft was going to collide into that other aircraft, would you feel that the pilot observed safety while taxling if he continued to taxi?

MR. TURNER: Objection to form, incomplete hypothetical.

THE WITNESS: It is unthinkable to us that a PIC would taxi without confirming safety.

MR. TORPEY: Q. And if, in fact, a PIC did taxi without confirming safety, that would be a 23 violation of the company policy to observe safety while taxiina?

MR. TURNER: Objection to form, incomplete

Page 49 confirm it was safe to continue taxiing. That's an

2 option to you, sir, isn't it?

> MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: If the other aircraft was right in front of me, then I would stop, but I do not -- but since I don't know what the situation is, I would go to spot 10. You are asking me the same question, and the answer is the same.

MR. TORPEY: Q. If you did not know which direction the other aircraft was going to go, so it was a moving target and therefore could potentially get in the way of your taxi, one of the options for you is to stop the taxi until you know whether or not that other aircraft is a potential collision hazard; isn't that true, sir?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: In such a situation I believe there will be an instruction from the ramp tower.

MR. TORPEY: Q. But what if there was no instruction? Would you call and ask the ramp tower?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: I believe there will be an

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#### Teruo Usui

Page 50 instruction from ramp control, and I would wait for it. MR. TORPEY: Q. But what if it did not come for whatever reason and you continued to taxi and you were getting closer to the other airplane and you still didn't know whether you were going to run into it.

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Would you continue to taxi until you ran into it, or would you stop and then call and wait until you did confirm with ramp control that you weren't going to run into it?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: I would lower the speed. MR. TORPEY: Q. But even lowering the speed, if you still didn't know whether or not you were going to collide with the other aircraft, as a safe pilot in command, wouldn't you stop your airplane until you were able to confirm with the ramp control that you were not going to collide?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: We received clearance from ramp control to proceed to spot 10. That means that there is no possibility of collision. If another aircraft approaches, then ramp control must instruct that other aircraft.

Page 52 MR. TURNER: Objection as to form, incomplete 1 2 hypothetical.

3 THE WITNESS: The fact that we received clearance from ramp control to proceed to spot 10 means 4 5 that we can taxi to that spot. We have priority or 6 supersedence.

MR. TORPEY: Again, I'll move to strike. But I think the record is made clear that the witness will not answer that question.

I'd like to see the exhibits from yesterday. Who has the exhibits?

(Noon recess taken.)

THE VIDEOGRAPHER: Coming back on the record. 13 The time on the monitor is 1:57 p.m. Please begin.

MR. TORPEY: Q. Mr. Usui, would you look at Exhibit 2 that's in front of you, please.

A. Yes.

Q. If you look at the second page of Exhibit 2 under taxi, under subpart 5, let's take a moment and read subpart 5. At the beginning of taxi, it says captain shall perform taxi in accordance with the following, and subpart 5 says, ask for a signalman assistance in the event that there's any obstacle in the vicinity of the ramp area.

What does that mean to you?

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MR. TORPEY: Q. What if ramp control for whatever reason in your situation just given to us could not reach your aircraft? Does that mean that the clearance allows you to run into the other aircraft?

MR. TURNER: Objection as to form, foundation, incomplete hypothetical.

THE WITNESS: I don't understand your question.

MR. TORPEY: Why don't you read back the last question -- last two questions and last answers in Enalish.

(Record read by the reporter.)

MR. TORPEY: Q. Mr. Usui, if you're taxiing your aircraft after being cleared by ramp control to proceed from the engine-start line to spot 10 and you perceive there is a collision hazard with another aircraft, and even though ramp control cleared you to spot 10, you don't know for sure whether or not you're going to run into the other aircraft and even if you slow your aircraft upon perceiving the potential collision hazard, if for whatever reason ATC or ramp control cannot reach you or you cannot reach it, do you believe that you should stop your aircraft, or should you continue and just see whether or not you run into the other aircraft?

A. They are talking about the time when the taxiing is starting.

3 Q. When it's starting, do you mean before the 4 engine-start?

A. Ordinarily the engine pushback is done at the spot or it could be done in this way. For example, at San Francisco Airport, the aircraft is brought to the engine-start point where the engine is started. But, in any case, taxiing begins after engine-start.

Q. Well, would it be during -- would subpart 5 that I just read you regarding asking a signalman for assistance in the event there is an obstacle in the area of the ramp area, would that apply to the situation where you completed the pushback, have been released from the tug, and are now on your own power beginning to taxi through the ramp area?

A. The situation is unclear, so I cannot answer in a clear manner.

Q. In other words, you don't know whether that provision I just read you would apply to a situation where an ANA aircraft was released from the tow and was under its own power moving in a ramp area?

A. Subpart 5 talks about a situation before taxiing, therefore, if there is no obstacle in the vicinity, there would be an okay from the signalman.

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Page 54

O. Okay. So as you read subpart 5, that's something that applies before the taxi begins; correct?

A. Yes. I think so because at the top it is written the captain shall perform taxi in regards with following.

- Q. This is a manual -- this is the operations manual that applies to your operating of a 777 aircraft; correct?
  - A. It is not limited to 777.
  - Q. But it applies to the 777; right?
  - A. Yes, it applies to all aircrafts.
- Q. How about under section 2, subpart 2, be observant of all obstacles around him and taxiing speed is such that he may bring his airplane to an immediate and a complete stop.

Would that apply to you as the flying pilot in command or the flying pilot of a 777 that was taxiing to the engine-start line to spot 10 at San Francisco Airport?

- A. If there is clearance, we will go on, but I don't clearly understand what the situation is.
- Q. Well, sir, as a pilot and a trainer for ANA, isn't it your responsibility to understand and follow the operations manual including the provisions in Exhibit 2 at paragraph 2, subpart 2, that I just read to

Page 56 complete stop so that you do not run into the other aircraft?

A. According to the operations manual, that would be the case, but I don't understand what the situation that you mention is specifically, so I cannot answer.

O. Well, let me give you a specific situation.

Let's say that you were cleared by ramp control at San Francisco to taxi your 777 aircraft from the engine-start line to spot 10, and as you were taxiing and observing all obstacles around you as required by the operations manual, you saw another aircraft and you did not know whether or not you were going to collide with that other aircraft.

In that specific situation, would you be required to bring your airplane to an immediate and complete stop?

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: I would act according to the operations manual, but since I do not have a clear understanding of that situation, I do not know what I would do.

MR. TORPEY: Q. What's unclear in what I just asked you, sir?

A. I do not have an understanding of the entire

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A. Quite naturally.

Q. Is that a yes?

A. We observed the operation manual and operate the aircraft based on it.

O. Okay. Now, given that statement, tell me, sir, what your interpretation is of the provision in Exhibit 2 under section 2, subpart 2 that says, be observant of all obstacles around him and taxiing speed is such that he may bring his airplane to an immediate and complete stop.

In the context of applying that instruction to an aircraft taxiing at San Francisco Airport from the engine-start line to spot 10, explain to me what should happen in order to comply with that particular paragraph.

I'm going to withdraw the question. Let me rephrase it.

Subpart 2 says, be observant of all obstacles around him and taxiing speed is such that he may bring his airplane to an immediate and complete stop.

In order to comply with that direction, if you perceived during taxi that you were going to collide with another aircraft, would you be required to not only slow, but bring your aircraft to an immediate and

1 situation.

 O. What's unclear about the set of facts that I just gave you, sir?

A. Really, I could not know unless I am in that situation.

Q. Well, I'm going to ask you to put yourself in that situation. All right. I'm asking you to put yourself in the cockpit of a 777 aircraft at San Francisco International Airport. I'm asking you to put yourself in that position as pilot in command and flying pilot of that aircraft, put yourself in that position as someone who's the training officer for ANA.

I'm asking you to put yourself in that situation, having been given clearance by ramp control to taxi from the engine-start line to spot 10. I'm asking you to put yourself in that position taxiing and then you observe in compliance with your operations manual obstacles around you.

I'm asking you to put yourself in that position where you observe another aircraft while taxiing according to the clearance to spot 10. I'm asking you if you observed another aircraft and you, as the pilot in command, do not know, do not know for sure, whether or not you are going to run into that other aircraft.

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signal and in a way that the captain can easily make a

judgment. Also, according to necessity, the signalman

MR. TURNER: Just to be clear, that it was

will position and assisting personnel.

Page 60 Page 58 translated from Exhibit 9; correct? Does this policy in your operations manual 1 2 THE INTERPRETER: Yes. require you in that situation I have just presented to 3 MR, TURNER: Thank you. you, sir, to bring your airplane to an immediate and MR. TORPEY: Q. Mr. Usui, would you look at 4 complete stop? MR. TURNER: Objection as to form and 5 Exhibit 9 and tell me if there's anything anywhere on Exhibit 9 that is similar or identical to the language 6 incomplete hypothetical. THE WITNESS: I will act according to the 7 under section 2, subpart 2 of Exhibit 2 regarding being 8 observant of all obstacles? operations manual, but quite frankly I do not know what 9 A. That would be subpart 2 of section 3. I would do unless I am in the plane. 10 Q. Okay. And I would ask, Satoe, would you read MR. TORPEY: Q. Well, not to give you the that that he pointed out into the record, please. 11 impression it was responsive, it wasn't, but I'll move So for the record, this is Exhibit 9, on to another question. 12 subpart 3, section 2. With regard to Exhibit 9, Mr. Usui, would you 13 THE INTERPRETER: In order to avoid contact please turn to that. If you would look at Exhibit 9 14 this is a page from the same operations manual as 15 with people or objects outside the aircraft, he should be observant -- or he should observe the vicinity, and Exhibit 2: correct? Only this is dated 1999, in other 16 he shall operate at a speed that will allow smooth and words, it's a previous page from the operations manual. 17 18 safe stopping. A. By previous page, are you talking about MR. TORPEY: Q. Now, let me ask you, 19 Exhibit 8? 20 Mr. Usui, to look at -- strike that. Q. I'll withdraw the question. Exhibit 9 is dated 1999. You can see that at Look at Exhibit 9, Mr. Usui. If you look at 21 subpart 2, this document is in Japanese. Does that the bottom; correct? 22 23 A. Yes. subpart 2 talk about taxi? Q. Now, look at Exhibit 8, which at the bottom is A. That's right. 24 Q. And if you look under section 2, subpart 2, 25 dated 2004. Page 61 Page 59 A. Yes. 1 which again is in Japanese, is that the same instruction Q. Would you let me know if there's any language 2 as the one I just asked you about at section 2, similar to what was just read from Exhibit 9, that is 3 subpart 2 of Exhibit 2, which states, be observant of section 3.2, is there any similar language in the 4 all obstacles around him and taxiing speed is such that he may bring his airplane to an immediate and complete 5 exhibit anywhere? A. Yes. It is here, and it would be subpart 2 of 6 stop? 7 A. The content is different. section 3. 8 Q. And it's the same language as you read to us Q. Okay. How is it different? MR. TURNER: We're comparing the second --9 from Exhibit 9? 10 A. Yes. number 2 under the 2 in a circle we're comparing to the 2 in the parentheses; is that correct? On both of them, Q. And do you have any knowledge that -- strike 11 that. 12 on Exhibit 9 and the second page of Exhibit 2. Since it appears that in 1999 and 2004 that 13 MR. TORPEY: Well, I'm asking him to compare instruction was not changed, do you believe that on the subpart 2, section 2. 14 October 7 of 2003 that that was an instruction that MR. TURNER: The 2 in parentheses under the 2s 15 would have been in the operations manual and would have 16 in the circles? 17 been required to be followed on October 7 of 2003? MR. TORPEY: That's correct. 18 A. Yes. We followed the operations manual, but THE INTERPRETER: The interpreter will in the English version it says, quote, complete stop, 19 translate. close quote. Whereas, we were looking at the manual 20 The signalman will show the signal from a that says, taxi at a speed wherein smooth and safe 21 position that is easy for the pilot to confirm the

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stopping is possible.

Q. But the language that you read in Japanese

would have applied to the operation of aircraft

including at San Francisco on October 7 of 2003;

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- A. It is as the operation manual says.
- Q. But the question, sir, is the language that you read in Exhibits 8 and 9 that is in Japanese, do you agree with me that those instructions would have applied to the operation of an ANA aircraft at San Francisco on October 7 of 2003?
- A. Rather than applying, we are operating according to this manual.
- Q. And the instruction that you read from Exhibit 8 and 9 was an instruction that you operated pursuant to on October 7 of 2003; correct?

One correction. Required to operate pursuant to. Excuse me.

MR. TURNER: Objection as to form. I'd ask that you restate it. I'm not sure what you were changing.

MR. TORPEY: I'll restate the question.

Q. Since the operations manual, which is 1999 and marked as Exhibit 9 and the 2004 version, which is marked as Exhibit 8, contain identical language in Japanese which you've read into the record, do you agree with me, sir, that that instruction that you read is one that you were required to follow in operating aircrafts on October 7 of 2003?

Q. Have there ever been any other occasions that you're aware of where an ANA aircraft has collided with another aircraft at any time, at any place?

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A. There was no collision. I am not totally certain.

- Q. The question, sir, is do you have any knowledge of any other accident or incident involving an ANA aircraft colliding with another aircraft at any place, at any time?
  - A. I do not know.
- Q. Who in your company would know if there were any other accidents or incidents involving collisions with other aircraft aside from the one at San Francisco that we're here on today?
  - A. I do not have a clear knowledge.
- Q. When you say you don't have a clear knowledge, does that mean that you don't know the answer?
  - A. I don't know who would know.
- 18 Q. I asked you earlier, I apologize and I didn't 19 follow up on this, but who is the chief pilot for the --20 in other words, who is the lead pilot for the 777 fleet 21 of ANA at this point? 22

THE INTERPRETER: The interpreter needs to confirm one word.

THE WITNESS: There is a chief for the 777

- A. It is not a requirement. We operated according to the manual.
- Q. It is a requirement. You cannot -- you as an ANA pilot cannot operate your aircraft unless it's in compliance with ANA's operation manual; isn't that true?

MR. TURNER: Objection as to form and foundation. I ask that you not just argue with the witness.

CHECK INTERPRETER: There was an objection, Lead Interpreter.

MR. TURNER: My objection, please.

THE INTERPRETER: Can you read the objection. (Record read by the reporter.)

THE WITNESS: We worked according to the operations manual.

MR. TORPEY: Q. Now, when you were -- what was your role on October 7 of 2003 with regard to the collision at San Francisco Airport?

- A. My role was as a multi-captain.
- Q. What's a multi-captain?
- The flight between San Francisco and Tokyo is a long one, and it is not possible for only two people to do the total operation, therefore, the captain formed a team of PIC, multi-captain, and copilot to cover the 25 long flight.

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flight crew, and by -- I mean the captain and other pilots, and there is another chief for the training department, so there would be two.

MR. TORPEY: Q. Tell me their names and which is for which department.

- A. I'm not clear on that at this point.
- Q. Who do you report to? Who is your supervisor 7 or boss? 8
  - A. My boss is not the chief. He's a leader.
- 10 O. What is his name?
- A. They change sometimes. The leaders change, so 11 he is not fixed. 12
- Q. On this day that you're here today, sir, who 13 is your leader, your boss? 14
  - A. By that do you mean the leader or the chief?
  - Q. Both. Give me names, sir.
  - A. Is there something about giving names.
  - Q. I would like to know the names, sir, please.

MR. TURNER: Just for the witness's

edification, from my point of view I have no objection 20 to him identifying people to whom he reports. But I 21 don't know if there may be some company policy that he 22 may have been referring to.

23 THE WITNESS: I would like to ask what 24 25

happens, what is the next step after the name is given.

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MR. TORPEY: Q. Well, could be nothing. It could be we want to depose that person to ask about things that you said here and then we might want to ask that person's boss. A lot of things might happen. That's why we're here is to find out what the truth is. And if we need to depose others to get the truth, then we do it. If we get it here today, then we might not. So I really don't know the answer to your question, sir.

MR. TURNER: I would just suggest that since the witness seems to have some concerns, which I have no idea of whether it's a valid concern or not, that we leave a blank in the transcript here and that we can —he can check with his superiors in the company to find out if there's some reason why he should not.

MR. TORPEY: No. Absolutely not. I want him to answer the question.

- Q. If you refuse to answer, then I will move on to something else. So please give me an answer.
- A. Rather than ask me, I would prefer you to ask my company for my boss' name.
- Q. Sir, either give me names or tell me you will not give me names so we don't waste any more time on this. I want the answer from you.
- A. I can't say the name, so if you would like to know the name, please go through my company.

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are not going to give me names.

Let's move on to the events of October 7 of

2003. Where were you seated in the cockpit?

- A. There are two seats behind the PIC and copilot seats, and I was seated on the left side.
- Q. So you were seated behind the captain in other words?
- A. No. My seat would be between the PIC and copilot seats.
- Q. So that was sort of -- that's fine. I have enough.

From the time you got into the aircraft and the aircraft -- strike that.

At any time during the segment from when the aircraft was pushed back from the gate up until the impact, did you stay at all times in the cockpit?

- A. Yes.
- Q. Okay. And at all times from the moment of pushback until the impact, did you at all times remain seated in that seat that you just described you were in?
  - A. Yes, I was.
- Q. Did you have to wear a harness, in other words, a shoulder harness and lap belt, you were strapped in?
  - A. Yes.

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- Q. Why can't you say the name, sir?
- A. As you said earlier, if I give the name, nothing may happen, so then there is no necessity.
- Q. Sir, that's not what I said. I said I wanted the names and we may have to depose them and their bosses or we may not depending on what we feel we need to do.

Now, I don't want to argue with you.

MR. TURNER: Well, then why don't you stop arguing with him and get on. I've given you alternatives. Why don't you press on.

 $\mbox{MR. TORPEY: }\mbox{ I am pressing on. }\mbox{ I want an answer from this witness.}$ 

- Q. Are you refusing to answer my question?
- A. I'm not refusing. I'm saying that if you need to know the name, please ask my company.
- Q. No. I will not ask your company because your company is not here giving a deposition, you are. Please give me the names since you said you are not refusing. Tell me who it was that is the two individuals that you mentioned earlier that you report to as of today.
  - A. As I already said, I cannot say.
- Q. I think we already understand your answer, sir, so I'll move on to something else. Apparently you

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  Q. And you did not remove that until after the impact; correct?
  - A. No. I did.
- Q. What point prior to the impact did you remove your harness and/or seat belt?
  - A. I don't know exactly when.
- Q. Did you remove the harness and the lap belt and get out of the seat?
  - A. Yes.
- Q. And were you standing or seated at the time of the impact?
  - A. I was seated.
- Q. I know you said you don't recall when you removed the harness and belt and got out of your seat exactly. Do you know how long it was that you were out of the seat before you sat back down again?
  - A. No. I do not have a clear recollection.
     THE VIDEOGRAPHER: One minute, counsel.
     MR. TORPEY: Q. Do you have any recollection,

MR. TORPEY: Q. Do you have any recollection, or would it be a complete guess?

A. I only want to say what is clear, so I don't know at this point.

MR. TORPEY: Fair enough. Why don't you change the tape.

THE VIDEOGRAPHER: This concludes Videotape 2

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in the deposition of Teruo Usui. Going off the record. 2 The time is 2:54 p.m.

(Recess taken.)

THE VIDEOGRAPHER: Here begins Videotape 3 of the deposition of Teruo Usui. Coming back on the record. The time on the monitor is 3:05 p.m. Please begin.

MR. TORPEY: Q. Mr. Usui, you indicated you took your seat belt off and got up and sat back down in your seat. Did you refasten your belt or your shoulder harness when you did that?

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Q. And you indicated you don't know how long 13 before the impact it was that you got up -- strike that. 14

Do you know how long before the impact it was that you got out of your seat?

- A. I'm not clear about the timing.
- O. And as you said before, when you say you're not clear, you really don't know? You would have to quess?
- A. I'm saying I do not recall. 21
- Q. And I take it you also don't recall how much 22 time elapsed from the time you got back in your seat 23 until the time of the impact either; correct? 24
  - A. I do not recall.

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pushing back from the gate?

- A. I do not recall.
- O. And from the time you first saw the United aircraft until the time of the impact, did you ever take your eyes off of it, in other words, direct your attention other than watching the United aircraft?

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- A. I do not have a clear recollection.
- O. And when you say you don't have a clear recollection, you don't know?
- A. I may have been looking at it, or I may have been looking towards the front. That is what I'm saying.
- O. When you say looking towards the front, looking somewhere other than at the United Airlines?
- A. Well, one can't see the outside very well from the rear seat.
- Q. Even if you were looking straight forward, would you still see the United aircraft out of your peripheral vision from your seat?
  - A. I do not have a clear recollection.
- Q. You said you did not have a clear recollection when you first saw United, whether it was stopped -- strike that -- whether it had started its push or not, does that mean that you have no recollection and cannot say whether it was stopped -

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- Q. Was there discussion going on in the cockpit between yourself and the other two crew members at the time you were leaving the engine-start line up to the point of the impact?
- A. By discussion, are you inquiring what the discussion was about?
- Q. Well, I just asked you first if there was discussion. So apparently there was discussion between yourself and the other two crew members from the point you left the engine-start line up to the point of impact; correct?
  - A. I do not recall.
  - Q. So you don't recall -- strike that.

What was the purpose of your getting out of the seat for the taxi for the period of time we talked about?

- A. It was to look at the aircraft that was pushing back from gate 102. 18
  - Q. That would be the United aircraft?
- Q. Okay. Now, did someone ask you to get up and 21 look, or did you on your own decide to get up and look? 22
  - A. I do not have a clear recollection.
- When you personally first saw the United 24
- aircraft, was it stopped, or had it already started 25

1 let me start over.

> You do not have any recollection as to whether, when you first saw the United aircraft, the United aircraft had started its pushback or whether it was still stationary at the gate before the pushback? You don't have a recollection one way or the other on that: correct?

- A. That's right.
- Q. At any time after you were able to see the United aircraft, did it ever get to a point prior to the impact where the United aircraft was no longer in your field of view?
  - A. No.
- Q. And were you looking at the United aircraft at the time you felt the impact?
  - A. No. I was seated.
- Q. From where you were seated, were you able to see the United aircraft if you wanted to look at it?
  - A. I could see the plane.
- Q. And since you could see the plane from the seated position, were you looking at the plane, the United plane, at the time you felt the impact?
- A. I do not have a clear recollection.
- Q. You said you could see the plane. What portion of the United plane could you see from your

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#### Teruo Usui

Page 74 Page 76 1 seated position? 1 close. A. The plane itself. 2 MR. TORPEY: Q. As a crew member, even though 2 3 you were not a flying crew member, you had an obligation 3 O. The entire plane from front to back? 4 A. No. I couldn't see the entire plane. for the safety of its aircraft and its passengers during O. Could you see everything from the wing 5 that taxi; correct? 5 6 A. It is as the operation manual that we looked 6 structure aft? A. You mean during the time leading to the 7 7 at says. 8 MR. TORPEY: Why don't you read back the 8 impact? 9 9 Q. Yes. question. 10 (Record read by the reporter.) A. Before the impact, it was behind the wing. 10 MR. TORPEY: Q. Please answer that question. Q. You could see everything behind the wing 11 11 before the impact? Is that what you're saying? 12 A. It was not as if I was not a flying crew 12 13 member. I was a flying member. 13 A. I could not recall if it was everything. Q. And so you have the same safety obligations as 14 Q. Tell me something you do recall you could see 14 the other two pilots during that taxi; correct? aft of the wing? 15 15 A. I could see the windows next to the seat, not 16 A. Yes. 16 17 Q. Now, when you got up to look at the United all the windows. 17 aircraft during the taxi because it was closer than 18 Q. Were you able to see the tail, the vertical 18 19 usual, did you do so to try to confirm whether or not tail? 19 there was a potential collision hazard between your 20 20 A. I do not recall clearly. aircraft and the United aircraft? O. Did you ever notice the United aircraft stop 21 21 its pushback at some point prior to the impact? 22 A. It was closer than usual, but I wasn't 22 A. I don't know if it stopped or not. 23 thinking about potential. 23 Q. When you got out of your seat prior to the 24 Q. So in getting out of your seat and looking at 24 25 the United aircraft -- strike that. 25 impact, tell me where you went and what you did. Page 75 Page 77 THE INTERPRETER: Can you give me that. Before getting out of your seat and looking at 1 1 the United aircraft, did you believe there was any 2 (Record read by the reporter.) 2 THE WITNESS: I looked outside from the right possibility at all that you would collide with the 3 3 4 4 rear-most window. I looked at the United Airline United aircraft? 5 A. Before I got out of the seat? 5 aircraft. 6 MR. TORPEY: Why don't you read back the MR. TORPEY: Q. What was your reason for 6 7 getting out of your seat and looking out of the right 7 question. rear-most window at the United aircraft prior to impact? 8 (Record read by the reporter.) 8 A. Because I felt it was closer. 9 THE WITNESS: I do not have a clear 9 10 THE INTERPRETER: The interpreter will 10 recollection. MR. TORPEY: Q. And in getting up out of your 11 11 restate. seat and looking at the United aircraft and then getting THE WITNESS: Because I felt that it was 12 12 back into your seat, did you feel that there was any 13 slightly closer than usual. 13 MR. TORPEY: Q. Why does that matter? possibility of a collision between your aircraft and the 14 14 A. Because it was closer than usual. United aircraft? 15 15 Q. But why does it matter to you that it's closer A. No. Because I did not feel any possibility, 16 16 than usual -- let me rephrase it. taxiing was continued. 17 17 Q. So after you got up and looked out the right The fact that it was closer than usual, why 18 18 rear-most window, you came to the determination that 19 did that cause you to get up and look at it? 19 there was no possibility that your aircraft was going to 20 A. There's no reason why I should not stand up 20 collide with the United aircraft? 21 and look. 21 A. The three of us together. 22 Q. Well, just because something is closer than 22 23 usual, why would you get up and look at it? 23 Q. So then after you got out of the seat, looked 24 MR. TURNER: Objection as to form. 24 in the right rear-most window and got back into your

seat, you, as well as the other two crew members,

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THE WITNESS: I simply looked because it felt

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Page 78 thought there was no possibility of a collision between your aircraft and the United aircraft; correct?

- A. We felt assured that there was no possibility and continued taxiing.
- Q. Okay. Now, prior to the time you got up and looked out the window and sat down, had the aircraft slowed its taxi speed?

MR. TURNER: Can I have that question back in English.

(Record read by the reporter.)

THE WITNESS: I do not recall about that.

MR. TORPEY: Q. Prior to the time you got out of your seat and looked at the United aircraft and then sat back down, had the aircraft deviated to the left of the centerline at all?

- A. No, I don't think it had deviated.
- O. At some point after you sat down, did the ANA aircraft deviate left of centerline prior to the impact?
  - A. I don't know.

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- Q. Do you know if at any time between the engine-start line and the impact whether the ANA aircraft deviated left of centerline?
  - A. It kept the centerline.
- Q. So at all times including right up to the impact, from your vantage point, there was never a

centerline, it would mean that the aircraft is lined up with the centerline.

Page 80

- Q. And since the nose is part of the aircraft, it's the front tip of the aircraft, that means that the nose would be lined up with the centerline; correct?
  - A. I'm not sure.
- Q. What do you mean when you say that an aircraft -- strike that.

You indicated that yourself and the other two pilots, after you sat back down in your seat from looking out the window, felt that there was no collision hazard.

Let me ask you if, however, after you sat back in your seat you felt that you did not know whether or not there was a collision hazard, in that situation, what would you have recommended to the crew that they should do?

MR. TURNER: Objection as to form.

THE WITNESS: I would not know unless I am in such a situation.

MR. TORPEY: Q. Well, you were in that situation, sir. You were in that situation. You were in that cockpit that day. And what I'm asking you to do is put yourself back in that spot. But I want to change one thing. I want you to assume that you did not know

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deviation off of the centerline; correct?

- A. That's right.
- Q. And we say deviate off centerline. We're talking about the nose of the aircraft lining up with the yellow centerline on the taxiway; correct?
- A. Main gear is the rear gear, but the middle of the main gear would be the centerline.
- Q. How does a pilot sitting in the right-hand seat of that aircraft know whether or not they're lined up with the centerline?
- A. The person at the right seat, I cannot give a clearcut explanation.
- 12 13 Q. Have you ever been in the right seat of a 777 14 taxiing?
  - A. Yes.
  - Q. How do you line up with the centerline?
  - A. If I am at the right seat, I would have my left leg or the attachment of my left leg be on the centerline. Also, the measuring instrument would be of reference. It is hard to explain.
  - Q. In order for an aircraft to be considered on the centerline, would the nose of the aircraft be lined up essentially with the centerline?
- A. If the centerline is between the main gear and 24 the main gear, and if the nose gear is on the 25

Page 81 when you looked out that window whether or not you were going to clear that United airplane.

With that change in mind, what would you tell that crew to do?

MR. TURNER: What is the whole question? Please ask a complete question. If you were changing it, please ask the whole question with the change.

MR. TORPEY: I'd like an answer to my question.

MR. TURNER: Objection as to form and foundation.

THE WITNESS: I do not know.

MR. TORPEY: Q. So even if you had gotten up, looked out the window, sat back down and thought you really don't know whether or not you're going to clear, you don't know what you would have done in that situation?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: If I am not in that sort of situation, I really wouldn't know.

MR. TORPEY: Ms. Interpreter, he started to speak before the objection. Did you catch what he started to say?

THE INTERPRETER: He said, at such a

# Page 82 situation. MR. TORPEY: Q. Now, if you had gotten up out of your seat and looked at the United aircraft and sat back down and didn't know whether or not you would run into the other aircraft, one of the things you could have done is suggested to the crew they could have stopped until they figure out whether or not they're going to clear the other aircraft?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: If I am not in such a situation, I do not know.

MR. TORPEY: Q. Sir, I'm asking you a hypothetical question, and I have a right to do that, and I want you to answer that question.

And the question is all of the factors of October 7, 2003, remain exactly the same, so don't tell me you weren't in that situation, because you were.

Put yourself back in that same situation. The only thing I want you to do is, for purposes of my question, when you sat back down in your seat you did not know for sure whether or not you would clear the other aircraft.

In that situation, sir, what would you tell that crew they should do?

1 that we could pass by.

Q. And did you represent to the pilot in command and the flying pilot that information that you just mentioned, that you thought that the aircraft would clear?

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MR. TURNER: Can I have that question back. I'm sorry. In English.

(Record read by the reporter.)

THE WITNESS: It was not a thought. The three of us were convinced that we could clear, or certain that we could clear, or we believed firmly that we could clear.

MR. TORPEY: Q. The question, though, sir, is did you represent to the other two pilots after looking out the window that you felt that the ANA aircraft would not collide with the United aircraft?

- A. The three of us discussed.
- Q. I didn't ask you that, sir. I'll ask you again. I'm asking you what you said to them. Did you say to them after looking out the window that you, Mr. Usui, felt that the aircraft you were in would clear the United airplane. That's the question, sir.
- A. Since this was four years ago, I do not recall if I said that or not.
  - Q. If Mr. Yamaguchi, the captain said that you

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MR. TURNER: Objection as to form, incomplete hypothetical. And Mr. Torpey is improper in giving these kinds of instructions to this witness. He should ask questions, not give these absurd instructions to the witness.

THE WITNESS: In a hypothetical situation, many conditions are unknown, so I cannot answer.

MR. TORPEY: I move to strike that answer. I don't think you responded to my question, sir, and you're not going to, so I'll move on.

If there was any chance, sir, on the day of this accident that your aircraft was going to strike the United aircraft, would you agree with me that your aircraft should have been stopped before proceeding further?

MR. TURNER: Objection as to form, incomplete hypothetical.

THE WITNESS: Until spot 10, I don't know.
MR. TORPEY: Q. Now, you said that after
sitting down, after looking out the window at the United
aircraft you were sure there would be no collision.

What about what you looked at out the window made you feel there was no chance that your airplane was going to collide with the United airplane.

A. Although it was closer than usual, I believe

said it, would you agree that you must have said it?

- A. I don't quite understand the question.
- Q. Well, you said it's been four years so you don't really remember. What if Mr. Yamaguchi said that you did represent to them that you thought the clearance was adequate --

MR. TURNER: Objection --

MR. TORPEY: Q. -- would you agree that you must have said that, or would you say Mr. Yamaguchi was lying?

MR. TURNER: Objection as to form and foundation.

THE WITNESS: I don't know.

MR. TORPEY: Let me see those exhibits, please. Just hand those to me, please.

Q. Let me show you, sir, what was marked yesterday as Exhibit 7, at Mr. Yamaguchi, the company's deposition.

Have you ever had a chance to read his statement before?

- A. Yes. I have, but I do not have a clear recollection.
- Q. If you look at Exhibit 7, it says here, approaching spot 10. I recognized UAL B777 had started pushout from gate B102. Pilot flying maneuvered

Page 86 Page 88 slightly to the left side of the centerline. It looked 1 1 A. Yes. 2 to me that the maneuver was to increase the margin of 2 Q. Do you understand that there was an 3 clearance from the UAL B777. 3 investigation by ANA into the cause of the collision And then he goes on to say, I asked the pilot 4 between your aircraft and the United aircraft? 4 5 5 flying whether the clearance was adequate and then A. Investigation, I don't know. acknowledged it with the cockpit member. 6 Q. Are you aware of what ANA determined to be the 6 7 Do you believe that you were the cockpit 7 cause or causes of the collision between the two 8 member with whom he acknowledged that? 8 aircrafts? 9 A. I don't recall clearly. 9 A. I have not. Q. So you don't know one way or the other? 10 Q. Have you personally formed any opinion as to 10 what the cause or causes of the collision between the 11 A. That's right. 11 12 Q. Let's put these exhibits back together. 12 two aircraft was? 13 Now, you said that the three of you were 13 A. No. certain prior to the impact that you were going to 14 Q. And I assume you have no opinion as to who was 14 clear. Other than yourself looking out the window, what at fault for causing the collision; correct? 15 15 else was done by yourself or the other two crew members 16 A. I don't know. 16 to come to the conclusion that it was certain you would 17 MR. TORPEY: Why don't we mark this. 17 18 18 not hit the United aircraft? (Whereupon, Exhibit 16 was marked for THE WITNESS: When you say other, what else 19 identification.) 19 did you do, I mean we continued taxiing. 20 MR. TORPEY: Q. Let me show you -- well, take 20 MR. TORPEY: No. Read back the question. 21 21 a moment. You can read it over. (Record read by the reporter.) 22 22 Have you had a chance to look at that, sir? 23 MR. TURNER: Objection as to form and 23 A. Yes. 24 foundation. 24 Q. Okay. May I see that, please. The statement 25 THE WITNESS: I do not recall. 25 that was marked Exhibit 16, that contains your Page 87 Page 89 1

MR. TORPEY: Q. And since you don't recall, you don't know if there was anything else that you did other than look out the window? Fair statement?

- A. I do not recall what we did.
- 5 Q. One thing we do know is you never attempted to 6 stop until impact: correct?
  - A. We had the clearance.
  - Q. That was not the question, sir. Do you agree with me that at no time prior to the impact was there any attempt to stop the ANA aircraft?
    - A. I do not know.

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- O. Do you have any recollection of any discussion 12 between yourself and the other two crew members about 13 whether you should stop prior to the impact? 14
  - A. We discussed, and we believed we could clear and so continued taxiing.
- 17 Q. So there was never an attempt to stop prior to 18 impact; correct?
  - A. There was no necessity to stop.
- Q. Do you remember giving a statement to the U.S. 20 21 government's National Transportation Safety Board that 22 investigated this collision?
  - A. I do not remember.
- Q. Do you recall anybody from ANA taking a 24 25 statement from you?

1 signature; correct?

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A. Yes.

- Q. And it's dated October 8 of 2003, do you see that at the top?
  - A. Yes.
- O. And that was given by you to ANA relative to this accident: correct? At least the information that is contained in this letter was given to you -- given by you?
- Q. And was that in the course and scope of ANA 11 12 investigating the circumstances of this accident as far 13 as you know?
  - A. I don't know.
  - In any event, having read this statement, which is dated October 8, 2003, would it have been given by you on that day, or would it have been given on October 7, the day this incident actually happened?
  - A. I have a recollection that I wrote this on the very day of October 7, 2003, but it is not certain.
  - Q. All right. Whether you wrote it on the 7th or the 8th of October, this would have been written when the events surrounding the collision or the events leading up to it were very fresh in your mind; correct?
    - A. Probably.

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Page 93

#### Teruo Usui

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Q. And the statement when you gave it for purposes of the NTSB to get this was true and accurate; correct?

Let me rephrase it.

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This statement that you gave that was submitted to the NTSB by ANA, it was a true and accurate statement; correct?

- A. Yes. It is as this says.
- Q. Let's look at the statement if you look -- may I see this, please. Your counsel has a copy or you can look at the one I'm putting up here.

First of all, the numbers on the left-hand column, 18:48, 18:55, 19:00. Do you know who supplied those?

- A. No, I don't know who provided those.
- Q. Let's look at the information at 18:55. It says, requested taxi to the ramp tower, then received clearance until spot 10.

And it goes on to say, then we were told to contact ground control.

What did you mean by your statement where it says you were cleared to go to spot 10, then this word right here, "then," told to contact ground control?

What did you mean by that, sir?

A. I don't know what that means specifically.

A. I don't know.

- Q. You don't know if the aircraft you were in when you taxied collided with the United aircraft?
- A. My understanding that we continued taxiing, and there was contact.
- Q. When you say contact, there was a collision between the ANA aircraft that you were in and the United aircraft that you had previously looked out the window at; correct?
- A. Yes. The United aircraft that I saw from the window.
- Q. And do you know what portion of your aircraft came in contact with what portion of the United aircraft?
  - A. I do not see the point of contact actually.
- Q. The point of contact was the right wing tip of your aircraft with the left wing tip of the United aircraft; correct?
  - A. Left?
  - Q. Let me break it down.

The portion of the ANA aircraft that collided with the United aircraft was the right wing tip; correct?

- A. I couldn't see, so I don't know.
- Q. I understand what you're saying. But do you

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- Q. The word until spot 10, you understand that ramp control only cleared you to go to spot 10, the transition point; correct?
  - A. That's our understanding.
- Q. Now, if we continue on that statement, it says here on the next line, starting here, on the way to enter to A taxiway by spot 10, turning to the left, I saw a UAL B777 being pushed out from gate G102. And in order to check the clearance with the airplane, I looked outside. However, it says the right-hand wing tip could not be invisible.

What did you mean by that, sir?

- A. It is as is written.
- Q. Did you mean that when you looked out the right window the right wing tip was not visible? Is that what you meant?
  - A. It means I could not see the right wing tip.
- Q. Fair enough. And that's because simply looking out the window, it's a sweptback wing, and you cannot see out the window the wing tip on the 777 aircraft; correct?
  - A. That's right.
- Q. Ultimately you taxied the aircraft, you and the other two crew members, into the United aircraft; correct?

know today if it was the right wing tip of your aircraft that collided with the United aircraft?

- A. I know there was a contact, but I don't know where because I could not see.
- Q. The contact between the United aircraft and your aircraft was, as you refer to here -- strike that.

The right wing tip, as you say, was not visible, and so you were not able to actually see the impact? Is that what you're saying?

- A. Yes. I'm saying that I could not see the right wing, so I don't know what part of the UA aircraft our aircraft's right wing contacted.
- Q. Fair enough. Now, there was never any attempt after leaving the engine-start line by yourself or the other two crew members in the ANA aircraft to try and contact ramp control to determine whether or not the ANA aircraft would clear the United aircraft; correct?
  - A. I don't know.
- Q. That right rear window that you were looking out at the United aircraft that we discussed earlier, that window opens; correct?
  - A. No. It cannot be opened.
  - Q. Is there a right window -- strike that.

    Is there a window on the right-hand side of the cockpit that can be opened?

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(Recess taken.)

#### Teruo Usui

Page 96 Page 94 THE VIDEOGRAPHER: Coming back on the record. A. Yes. 1 1 The time on the monitor is 4:50. Please begin. 2 Q. Other than the right rear-most window, did you 2 MR. TORPEY: Q. Mr. Usui, again, looking at 3 go to any other windows in the cockpit to attempt to 3 your statement, Exhibit 16, if we look at this final 4 look out and see if you could see the right wing tip? 4 line down here, it says that taxi speed was very slow at 5 5 A. No, there is not. 6 the moment of the collision. 6 Q. You did not? You only looked out one window? 7 During the taxi, was there a point in time 7 Is that right? 8 when the taxi speed slowed? In other words, you were A. You mean me? I looked from the rear-most 8 going faster and then slowed prior to the impact? 9 9 window. Q. Okay. And neither you nor the other crew 10 A. I don't know. 10 Q. Well, apparently in your statement you stated members attempted to open the right-hand side window at 11 11 that, in fact, the taxi speed was -- strike that. 12 any time during the taxi; correct? 12 You say the taxi speed was very slow, and you 13 A. There is no such operation. 13 14 go on to say, also our aircraft proceeded a little Q. Well, there's a right-hand window that opens I 14 15 bit -- or "bid," but I think you mean "bit" -- left of 15 thought you said. 16 the taxi centerline. 16 A. Yes. Q. Have you ever had a chance to open a window, Does that refresh your recollection about 17 17 the right-hand window, on a 777 aircraft and sort of whether the aircraft prior to impact deviated to the 18 18 19 left of the centerline? 19 poke your head out and look out that window? 20 MR. TURNER: Can I have the question read 20 A. Only when the aircraft is stationary, in other 21 back, please. words, when the engine is not on. 21 Q. When you've done that, can you see the right (Record read by the reporter.) 22 22 23 MR. TURNER: Objection as to form and 23 wing tip? THE INTERPRETER: When it is parked, he said. 24 foundation. 24 THE WITNESS: It was on the centerline but 25 Can I have the question again. 25 Page 97 Page 95 (Record read by the reporter.) 1 towards the left. 1 MR. TORPEY: Q. What was the reason that the 2 THE WITNESS: When one pokes one's head out, 2 aircraft deviated to the left of the centerline prior to 3 3 it is possible to see. 4 impact? What was the purpose of that? MR. TORPEY: Q. If we continue on in your 4 5 MR, TURNER: Objection as to form and statement, you say here in the next line, since aircraft 5 6 turning at spot 10 to A taxiway, I checked the foundation. 6 7 THE WITNESS: I don't know the reason. 7 clearance. Are you again referring to the clearance between your aircraft and the United aircraft? MR. TORPEY: Q. If you as a pilot flying in 8 8 the 777 had a potential collision hazard to your right, 9 9 A. That's right. would deviating to the left of the centerline increase 10 10 Q. And it goes on to say and noticed a wing walker accompanied the UAL 777 at the left side. I did or decrease the clearance between the two objects? 11 11 not see another on the right side. I saw the wing MR. TURNER: Objection as to form, foundation, 12 12 and incomplete hypothetical. walker giving an okay-sign presumably to the tow tractor 13 13 of the United 777. 14 THE WITNESS: I don't know unless I'm in that 14 What was the significance of that statement or 15 situation. 15 16 MR. TORPEY: Q. Let me show you what was that observations, if any? 16 17 previously marked Exhibit 14, which is the federal A. I simple told the truth. 17 18 aviation regulations. Q. Had no significance? You were just stating 18 19 If you look at Exhibit 14, that's federal what you saw? 19 20 aviation regulation section 91.3. It says A. That's right. 20 responsibility and authority of the pilot in command, MR. TURNER: We've been going for more than an 21 21 and under subsection A it states, and I quote, the pilot 22 hour and a half again. Let's take a break. 22 THE VIDEOGRAPHER: Going off the record. The 23 in command of an aircraft is directly responsible for 23 24 the final authority as to the operation of that 24 time on the monitor is 4:33 p.m.

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aircraft.

#### Page 100 Page 98 Mr. Usui, as a captain and proficiency check aircraft so close to another aircraft as to create a 1 pilot and flying pilot of a 777 for ANA that flies ANA 2 collision hazard. 2 3 Mr. Usui, were you aware of that federal aircrafts into the United States, were you aware of that 3 4 aviation regulation? 4 aviation regulation? A. It is the first time for me to see this. 5 5 A. In Japan there's an aviation regulation under Q. And is there a similar Japanese regulation the same title. 6 6 7 such as FAR 91.111? O. And does it read the same as what this 7 8 A. I can't recall. 8 regulation reads? 9 A. I don't know because one is in English and one O. Okay. If you look below that federal aviation 9 regulation 91.113, right-of-way rules except water is in Japanese, but they are similar. 10 10 operations and under subsection B general, it states 11 O. And you were aware of the -- at least the 11 that vigilance shall be maintained by each person Japanese version of this regulation on October 7 of 12 12 13 operating an aircraft so as to see and avoid other 13 2003; correct, sir? 14 aircraft. 14 A. Yes. Were you aware of that federal aviation 15 Standby one second, please. 15 MR. TORPEY: Let's mark this as the next 16 regulation? 16 MR. TORPEY: I'd ask Counsel not to point and exhibit which would be what number? 17 17 direct the witness while a question is pending. You've (Whereupon, Exhibit 17 was marked for 18 18 highlighted a document. You pointed to the witness 19 19 identification.) while I'm cross-examining him on a question. Document 20 20 MR. TORPEY: Q. Let me show you what was is right there in front of the witness. That is marked as Exhibit 17, another federal aviation 21 21 22 completely improper. regulation, and this one is section 91.13. 22 MR. TURNER: That's exactly the point. The THE VIDEOGRAPHER: Can you move it to the left 23 23 24 highlighted point that I have highlighted you have 24 a little bit. 25 highlighted and the witness can't see it because the MR. TORPEY: Yeah. And that section reads, 25 Page 99 Page 101 under careless or reckless operation, subpart A, and I 1 court reporter's head is in his way. That's the only 1 quote, aircraft operations for the purposes of air 2 reason I gave him the new Exhibit 18 because he couldn't 2 navigation, no person shall operate an aircraft in a 3 see it because of the court reporter's head and you know 3 4 that's the case. careless or reckless manner so as to endanger the life 4 5 MR. TORPEY: I'd like to see that. Would you 5 or property of another. 6 hand me that, sir, please. Could I see that. Q. Now, do you see that? Mr. Usui, were you 6 7 I stand corrected. It is as a marked on that aware of that federal aviation regulation? 7 8 exhibit. A. It is the first time for me to see this. 8 9 MR. TURNER: Sure is. O. Do you know if there is -- if there is a 9 10 MR. TORPEY: Q. Sir, having reviewed federal similar counterpart in the Japan regulations? 10 aviation regulation 91.113B, were you aware of that 11 A. Yes, there is. 11 federal aviation regulation regarding the obligation to 12 (Whereupon, Exhibit 18 was marked for 12 see and avoid other aircraft? 13 13 identification.) A. This is the first time I see this federal 14 14 MR. TORPEY: Q. Let me show you Exhibit 15 aviation regulation. 15 Number --Q. And is there a Japanese counterpart or a THE VIDEOGRAPHER: You won't be able to see it 16 16 similar Japanese regulation requiring see and avoid of 17 17 there. other aircraft? MR. TORPEY: What's that? 18 18 A. Yes. THE VIDEOGRAPHER: Just move it to the left. 19 19 20 O. And on October 7 of 2003 were you aware of MR. TORPEY: Hold on one second. 20 THE VIDEOGRAPHER: Down. That's better. 21 that regulation? 21 A. And by that do you mean the Japanese 22 22 MR. TORPEY: Q. Let me show you what was marked as Exhibit 18, and in particular under 23 regulation? 23 Q. Yes, sir. 24 section 91.111, operating near other aircraft, and 24 25 A. Yes. section A, it reads, quote, no person may operate an 25

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Page 102 Q. To your knowledge as a proficiency trainer and 1 2 instructor, would Mr. Mr. Yamaguchi and Mr. Nishiguchi, 3 the other pilots that were with you on October 7 of 4 2003, have the same knowledge and understanding of the 5 Japan airline regulations that were discussed with you 6 today? 7 A. Yes. 8 Q. On the day of this collision on October 7 of 9 2003, the ANA -- strike that. 10

If on October 7, 2003, prior to the impact, the United aircraft was stopped or stationery or, let's say, 10 or 15 seconds, and your aircraft was not stationery, you continued to taxi, would you consider that to be an overtaking by your aircraft of the stationary United aircraft?

THE INTERPRETER: The interpreter would like to inquire, by overtaking, do you mean physically pass? MR. TORPEY: Yes.

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: I do not know.

MR. TORPEY: Q. Well, an aircraft that is stationary can't overtake an aircraft that's moving; correct?

A. I won't know unless I am in such a situation.

right-of-way? What does having the right-of-way authorize your aircraft to do?

- A. Do you mean on land or in air?
- Q. On land, during taxi.
- A. Then as I said earlier, it is the instruction from ATC.
- Q. The only thing, the one and only thing, that the right-of-way -- strike that.

If you have the right-of-way during taxi, do you have the right to run into another aircraft?

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: No.

MR. TORPEY: And you got the answer; right? THE REPORTER: Uh-huh.

MR. TORPEY: Q. If you have the right-of-way, does that mean that you as a pilot do not have to see and avoid other aircraft while taxiing?

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: I don't know unless I am actually in a situation.

MR. TORPEY: Q. In any situation that your mind can conceive, is there ever a situation during taxi where you do not have to see and avoid another aircraft

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- Q. What does the word overtaking mean to you in terms of aviation as a pilot? What does the word overtaking mean?
  - A. I don't have an understanding.
  - Q. As a pilot for ANA, do you have an understanding of what it means to have the right-of-way?
    - A. Yes.

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- Q. What does the right-of-way mean?
- A. It means right-of-way.

THE VIDEOGRAPHER: Two minutes, Counsel.

MR. TORPEY: You want to switch now because we won't have to stop anymore.

THE VIDEOGRAPHER: This concludes Videotape 3 in the deposition of Teruo Usui. Going off the record. The time on the monitor is 5:18 p.m.

(Discussion off the record.)

THE VIDEOGRAPHER: Here begins Videotape 4 of the deposition of Teruo Usui. Coming back on the record. The time on the monitor is 5:19. Please begin.

MR. TORPEY: Q. Mr. Usui, how do you as a pilot know whether your aircraft or another aircraft has the right-of-way while taxiing?

- A. When the ATC controller gives an instruction, that is when the aircraft has the right-of-way.
  - Q. And what is your definition of the

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simply because you were given the right-of-way?

MR. TURNER: Objection as to form and

MR. TURNER: Objection as to form and incomplete hypothetical.

THE WITNESS: My answer is the same as before, unless I am in that actual situation, I would not know.

MR. TORPEY: Q. Do you instruct ANA pilots that when they have the right-of-way, they are relieved from compliance with Japanese aviation regulations?

- A. The pilots have licenses. They have common sense. They have their own knowledge, so I do not even touch that subject.
- Q. As a pilot yourself, do you believe, sir, that simply because you are given clearance to taxi that you are relieved of responsibility under Japanese regulations with regard to careless or reckless operation or operating aircraft too near to other aircraft so as to create a collision hazard?

MR. TURNER: Objection as to form, foundation, incomplete hypothetical.

THE WITNESS: When one is operating in the normal way, there would be no violation.

MR. TORPEY: Q. That's not the question, sir. The question is whether you believe that simply getting clearance to taxi relieves you of your obligation to comply with Japanese aviation regulations which require

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	Page 106		Page 108
1	you to not operate an aircraft too close to another	1	time on the monitor is 5:36 p.m.
2	aircraft to create a collision hazard?	2	(Whereupon, the deposition adjourned at
3	MR. TURNER: Objection as to form, foundation,	3	5:36 p.m.)
4	incomplete hypothetical.	4	000
5	THE WITNESS: I don't understand what that	5	I declare under penalty of perjury that the
6	specific situation is, so I could not answer.	6	foregoing is true and correct. Subscribed at
7	MR. TORPEY: Q. So if the jury in this case	7	, California, this day
8	hears the testimony, you are saying you cannot answer	8	of, 2007.
9	whether or not getting clearance relieves you let me	9	
10	rephrase it.	10	
11	As a commercial airline pilot, you have to	11	
12	comply with Japanese regulations; correct?	12	TERUO USUI
	A. Of course.	13	, 2,1,00 0001
13	Q. So certainly, sir, you know full well whether	14	
14		15	
15	or not in getting clearance to taxi that relieves you of		
16	any obligation under Japanese regulations to not operate	16	
17	your aircraft so close to another aircraft as to create	17	
18	a collision hazard; true, sir?	18	
19	MR. TURNER: Objection. As to form,	19	
20	foundation, lack of — incomplete hypothetical.	20	
21	THE WITNESS: I don't know. I cannot answer.	21	
22	MR. TORPEY: Would you read back the question	22	
23	and answer in English just for me.	23	
24	(Record read by the reporter.)	24	
25	MR. TORPEY: Q. Let me ask you another	25	
		<u> </u>	
	Page 107		Page 109
1	question, you used the term strike that.	1	CERTIFICATE OF REPORTER
1 2	=	2	CERTIFICATE OF REPORTER  I, BRANDON D. COMBS, a Certified Shorthand
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